

04/01/99

jc619 U.S. PTO

PTO/SB/05 (4/98)

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. Y0999-011 (8728-255)

First Inventor or Application Identifier Challenger

Title Method and System for Efficiently...

Express Mail Label No. EL192802989US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 39]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
4. Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

*** NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney
(when there is an assignee) ☒
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application,
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☒ Other: Associate Power of Attorney

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

| | | | | | |
|---------|---|-----------|----------------|----------|----------------|
| Name | James J. Bitetto | | | | |
| Address | F. Chau & Associates, LLP 1900 Hempstead Turnpike, Suite 501 | | | | |
| City | East Meadow | State | New York | Zip Code | 11554 |
| Country | USA | Telephone | (516) 357-0091 | Fax | (516) 357-0092 |

| | | | |
|-------------------|-------------------------|-----------------------------------|--------|
| Name (Print/Type) | James J. Bitetto | Registration No. (Attorney/Agent) | 40,513 |
| Signature | <i>James J. Bitetto</i> | Date | 4/1/99 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

04/01/99

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTORNEY DOCKET NO. YO999-011 (8728-255)Date: April 1, 1999Express Mail Label: EL192802989USDate of Deposit: April 1, 1999

Transmitted herewith for filing is the Patent Application of:

Inventors: James R. Challenger, Cameron Ferstat, Arun K. Iyengar, Paul Reed, Karen A. WittingFor: METHOD AND SYSTEM FOR EFFICIENTLY CONSTRUCTING AND CONSISTENTLY PUBLISHING WEB DOCUMENTSEnclosed are: [X] 19 sheets of specification; [X] 1 sheet(s) of Abstract; [X] 19 sheet(s) of claims; [X] 5 sheet(s) of drawing(s)

- [X] An assignment of the invention to International Business Machines Corporation with Recordation Form.
 [X] Declaration and Power of Attorney.
 [] A certified copy of a _____ application, from which priority under Title 35 USC §119 is claimed.
 [X] Associate Power of Attorney.

The filing fee has been calculated as shown below:

| | (Col. 1) | (Col. 2) | OTHER THAN A SMALL ENTITY | |
|---|-----------|-----------|------------------------------|-----------|
| FOR: | NO. FILED | NO. EXTRA | RATE | FEE |
| BASIC FEE | | | | \$760.00 |
| TOTAL CLAIMS | 60 -20 = | 40 | X \$18 = | \$720.00 |
| INDEP CLAIMS | 5 -3 = | 2 | X \$78 = | \$156.00 |
| MULTIPLE DEPENDENT CLAIMS PRESENTED | | | + 260 = | |
| If the difference in Col. 1 is less than zero, enter "0" in Col. 2. | | | TOTAL | \$1636.00 |

- [] Checks in the amount of \$_____ and \$_____ to cover the filing fee(s) and recording fee are enclosed.
 [X] Please charge my Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$1,636.00.
 [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510/IBM (Yorktown Heights). **A duplicate copy of this sheet is enclosed.**
 [X] Any additional filing fees required under 37 CFR 1.16.
 [X] Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By: James J. Bifetto
 James J. Bifetto
 Registration No. 40,513
 Attorney for:
 IBM Corporation
 Intellectual Property Law Dept.
 P.O. Box 218
 Yorktown Heights, NY 10598

Please address all
correspondence to:

F. CHAU & ASSOCIATES, LLP
 1900 Hempstead Turnpike, Suite 501
 East Meadow, NY 11554
 Tel: (516) 357-0091
 Fax: (516) 357-0092

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this Application transmittal and the documents referred to as enclosed are being deposited with the United States Postal Service on this date April 1, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL192802989US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

James J. Bifetto
 James J. Bifetto

jcs30 U.S. PTO

09/283561

04/01/99

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
 These are the fees effective October 1, 1997.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
 See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 1676.00

Complete if Known

Application Number
 Filing Date April 1, 1999
 First Named Inventor Challenger
 Examiner Name
 Group / Art Unit
 Attorney Docket No. Y0999-011 (8728-255)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 50-0510/IBM
 Deposit Account Name IBM (Yorktown Heights)

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE -

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 790 | 201 395 | Utility filing fee | 760 |
| 106 330 | 206 165 | Design filing fee | |
| 107 540 | 207 270 | Plant filing fee | |
| 108 790 | 208 395 | Reissue filing fee | |
| 114 150 | 214 75 | Provisional filing fee | |

SUBTOTAL (1) (\$) 760.00

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 60 | -20** = 40 | x 18 = 720 | |
| 5 | -3** = 2 | x 78 = 156 | |
| Multiple Dependent | | 260 | |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 103 22 | 203 11 | Claims in excess of 20 |
| 102 82 | 202 41 | Independent claims in excess of 3 |
| 104 270 | 204 135 | Multiple dependent claim, if not paid |
| 109 82 | 209 41 | ** Reissue independent claims over original patent |
| 110 22 | 210 11 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 876.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 400 | 216 200 | Extension for reply within second month | |
| 117 950 | 217 475 | Extension for reply within third month | |
| 118 1,510 | 218 755 | Extension for reply within fourth month | |
| 128 2,080 | 228 1,030 | Extension for reply within fifth month | |
| 119 310 | 219 155 | Notice of Appeal | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | |
| 121 270 | 221 135 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,320 | 241 660 | Petition to revive - unintentional | |
| 142 1,320 | 242 660 | Utility issue fee (or reissue) | |
| 143 450 | 243 225 | Design issue fee | |
| 144 670 | 244 335 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Petitions related to provisional applications | |
| 126 240 | 126 240 | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 790 | 246 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 790 | 249 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00

SUBMITTED BY

Typed or Printed Name James J. Bitetto

Signature *James J. Bitetto*

Date 4/1/99

Complete (if applicable)

Reg. Number 40,513

Deposit Account User ID 50-0510/IBM

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